

*\$RCE/2815*  
*11/11/01*  
*7/22/03*  
*Admth*

**REQUEST FOR  
CONTINUED EXAMINATION (RCE)  
TRANSMITTAL**

Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for the continued examination of a utility or plant application filed on or after June 8, 1995.

O I P E JC176  
JUL 14 2003  
PATENT & TRADEMARK

Application Number	09/981,842
Filing Date	October 19, 2001
First Named Inventor	IMANISHI, Kenji
Group Art Unit	2815
Name of Examiner	BAUMEISTER, Bradley
Attorney Docket No.	011287

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application.  
 Note: 37 C.F.R. § 1.114 is effective on May 29, 2000. If the above-identified application was filed prior to May 29, 2000, applicant may wish to consider filing a continued prosecution application (CPA) under 37 C.F.R. § 1.53 (PTO/SB/29) instead of a RCE to be eligible for the patent term adjustment provisions of the AIPA. See changes to Application Examination and Provisional Application Practice, Interim Rule, 65 Fed. Reg. 14865 (Mar 20, 2000) 1233 Off. Gazette Pat

1. **Submission Required Under 37 C.F.R. § 1.114**

- a. ☐ Previously submitted
  - i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_  
 (Any unentered amendment(s) referred to above will be entered)
  - ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
  - iii. ☐ Other \_\_\_\_\_
- b. ☒ Enclosed
  - i. ☒ Amendment/Reply
  - ii. ☐ Affidavit(s)/Declaration(s)
  - iii. ☐ Information Disclosure Statement (IDS)
  - iv. ☐ Other \_\_\_\_\_

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2. **Miscellaneous**

- a. ☐ Suspension of Action on the above-identified application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months (period shall not exceed three months; Fee under 37 C.F.R. § 1.17(i) required)
- b. ☐ Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☐ The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. 01-2340
  - i. ☐ RCE fee required under 37 C.F.R. § 1.17 (e)
  - ii. ☐ Extension of Time F e (37 C.F.R. §§ 1.136 and 1.17)
  - iii. ☐ Other \_\_\_\_\_

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 01 FC:1801  
 02 FC:1201  
 750.00 OP  
 84.00 OP

- b. ☒ Check in the amount of \$944 is enclosed.

REQUEST OR  
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Subsection (b) of 35 U.S.C. § 132, effective on May 29, 2000, provides for the continued examination of a utility or plant application filed on or after June 8, 1995.

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PATENT TRADEMARK OFFICE

Atty Docket No.

011287

SIGNATURE BY APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name James E. Armstrong IV

Registration No. 42,266 (att/agent)

Signature

Date July 14, 2003

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, MAIL STOP RCE, Washington, D.C. 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name

Signature



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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **IMANISHI, Kenji**

Group Art Unit: 2815

Serial No.: 09/981,842

Examiner: **BAUMEISTER, Bradley**

Filed: **October 19, 2001**

P.T.O. Confirmation No.: 7067

For: **FIELD-EFFECT TRANSISTOR USING A GROUP III-V COMPOUND SEMICONDUCTOR**

**RESPONSE UNDER 37 CFR §1.116**

**MAILSTOP AF**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

July 14, 2003

Sir:

In response to the Office Action dated **March 19, 2003**, extended to **July 19, 2003** by a 1 month Petition for Extension of Time, please amend the above-identified application as follows:

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